

The logo for the Association of Insurance Compliance Professionals (aicp) is displayed within a dark green rectangular box. The letters 'aicp' are rendered in a white, lowercase, serif font, oriented vertically from bottom to top.

aicp

**ASSOCIATION OF
INSURANCE
COMPLIANCE
PROFESSIONALS**

www.aicp.net



ASSOCIATION OF INSURANCE COMPLIANCE PROFESSIONALS

Discussion Points

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- Mission Statement
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AICP Facts

- Founded in 1985 as the Society of State Filers
- 1998 name changed to Association of Insurance Compliance Professionals to reflect the diverse membership and activities of the organization
- National Organization supported by 9 Local Chapters
- Over 1,500 members from over 400 different insurance companies representing P&C and L&H backgrounds
- Regulators from over 30 different states



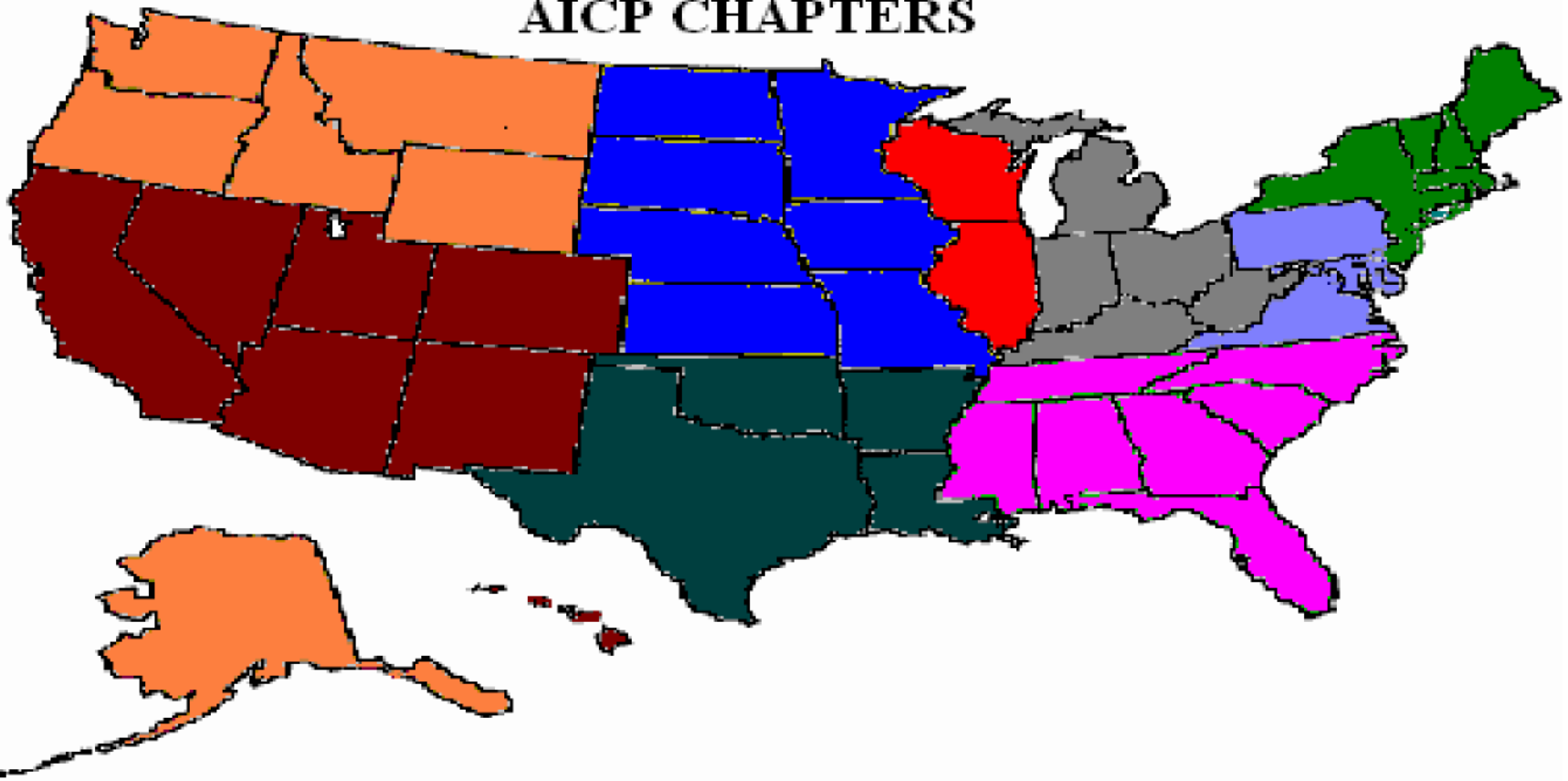
AICP Focus

- Our Primary Focus is Twofold:
 - To provide educational opportunities to our membership
 - To create an environment where our members can network with others (industry / regulators) concerning compliance-related topics

Why You Should Join the AICP

- Member of a professional organization with people of like minded goals regarding insurance compliance
- Access to the knowledge and experience of dedicated professionals throughout the insurance industry
- Member of a nationally recognized and respected organization dedicated to improving the relationships between Regulators and the insurance industry
- Access to parts of the AICP website and other resources containing valuable information that will make you a more informed insurance professional

AICP CHAPTERS



Great Lakes - Illinois & Wisconsin

Gulf States - Alabama, Florida, Georgia, Mississippi, North Carolina, South Carolina, Tennessee

Heartland - Iowa, Kansas, Minnesota, Missouri, Nebraska, North Dakota, South Dakota

Mid-Atlantic - D C, Delaware, Maryland, New Jersey, New York City, Pennsylvania, Virginia

Midwest Forum - Indiana, Kentucky, Michigan, Ohio, West Virginia

New England - Connecticut, Maine, Massachusetts, New Hampshire, Upstate New York, Rhode Island, Vermont

Northwest - Alaska, Idaho, Montana, Oregon, Washington, Wyoming

South Central - Arkansas, Louisiana, Oklahoma, Texas

Western - Arizona, California, Colorado, Hawaii, Nevada, New Mexico, Utah

AICP Activities

- Quarterly newsletter, *The Journal for Insurance Compliance Professionals*
- National Conference
- Local Chapter Educational Days
- Informational sharing via the AICP website's automated e-mail alert system and member discussion forum
- Resource for the NAIC and other Regulatory bodies on compliance issues

AICP National Conference

- Our premier educational event
- 2007 Annual Conference theme – “Celebrating the Past, Planning for the Future”
- Attended by more than 850 compliance professionals
- Offered over 75 concurrent educational sessions
- Presentations from over 65 regulators representing 30+ states, the NAIC and Canada
- Inclusion of industry trade organizations NAIC, ACLI, NCOIL, AHIP & PCI
- Introduced Commissioner’s Corner – AR, IA, ND, PA & WA
- 2008 Annual Conference - Atlanta, GA Oct. 5 – Oct. 8

AICP Chapter Education-Days

Chapter

- E-Days are mini Annual Conferences at the Chapter level
- Samples of speakers and topics at recent E-Days include;
 - Northwest (5/08), Legislative Liaison, Interstate Compact with IIPRC, Hot Topics of the Oregon Insurance Division, Market Conduct Annual Statement, WA OIC, P&C Topics with ISO.
 - New England (5/08), Civil Union Legislation, Data Calls, Mandatory Ioli, Marine, Stoli, Coli, Boli, Group Disability Income Hot Topics and Discovery Issues / Record Retention.



AICP Web Site

- Brief synopsis
- Membership information
- Member Discussion Forum
- Highlights from the Annual Meeting
- Calendar of Events/Upcoming Events
- Employment opportunities
- Press releases, updates and the AICP Journal
- Information on the Certification Programs
- Links to DOI and other useful compliance web sites
- Chapter links and information
- AICP Scholarship Program

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AICP Certification Programs

- Associate Compliance Professional (ACP)
- Certified Compliance Professional (CCP)
 - Property / Casualty Track
 - Life Track
 - Health Track
 - 2007 – Developed a Regulator Track
 - 2008 – Review of our overall professional designation program



AICP Testimonials

“AICP affords the opportunity for compliance and legal personnel to interface directly with state regulators. Recently this has assisted my Company with gaining support from specific state regulators for certain innovative product features.” (*Larisa Gromyko, American Skandia-Prudential Company*)

“One of things that continually amazes me about the AICP is the way that its members cooperate. Whenever I need an answer to a question or help with a problem, I just have to contact a fellow member and I get what I need. I have been part of the insurance community since the late 60s and have never experienced this helpful attitude so consistently. Everyone at the AICP can take great pride in this achievement. I feel that it is a direct result of the cooperation and respect that the board and officers have established. As an organization, your “team” attitude is definitely a standout.” (*Peggy Schwartz, Boston Mutual Life Ins. Co.*)



AICP Mission Statement

The Association of Insurance Compliance Professionals serves the insurance compliance community by promoting relationships, exchanging information and providing learning opportunities within a dynamic regulatory environment.



AICP Code of Ethics

The AICP is dedicated to promoting regulatory competence and awareness for the improvement of regulatory compliance within the insurance industry. In furthering this objective, each member should maintain high ethical standards and assume a personal obligation to exercise self-discipline in promoting regulatory compliance.

This Code of Ethics applies to all members.

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AICP Code of Ethics

AICP Members should:

- Monitor the insurance regulations affecting the products they offer;
- Cooperate with others to improve regulatory compliance within the framework of established rules and regulations;
- Exercise personal and professional integrity and objectivity in regulatory dealings;
- Encourage others in the insurance regulatory environment to act responsibly;
- Share regulatory knowledge and expertise with other Members;
- Comply with the letter and spirit of all anti-trust laws governing the regulation of insurance;
- Act in a manner that will promote respect for the insurance industry;
- Demonstrate excellence in discharging their regulatory responsibilities;
- Abstain from professional decisions influenced by or that may imply a conflict of interest; and
- Practice high standards of regulatory competence for the benefit of the companies we represent, the states that regulate our industry and the clients we serve.

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Why should you join the AICP?

**Because it's
the right thing
to do!**

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QUESTIONS ?

If you have any questions regarding how to join or general questions regarding the AICP, please e-mail the AICP at aicp@aicp.net, or contact one of our Membership Committee Co-chairs:

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