



**Association
of Insurance
Compliance
Professionals**
**Mid-Atlantic
Chapter**

October 15, 2013

Dear Fellow AICP Mid-Atlantic Chapter Members,

I am Rona Finkelstein, your newly-installed AICP Mid-Atlantic Chapter President. I, together with the rest of your 2013-2014 Board (Mattia Scharfstein, Vice-President, Susan Coulter, Secretary, Silje Roalsvik, Treasurer, Larry Gross, Past President, and Matt Brasch, Regional Director), look forward to working with you to provide you with the compliance information you need and networking opportunities you want in this coming year.

I first want to thank our outgoing 2012-2013 Board members, Larry Gross, President, Michelle Ringelheim, Treasurer, Susan Coulter, Secretary, Matt Brasch, Past President, and Charlie Lynch, Regional Director, for their invaluable guidance and outstanding work this year. With their able assistance, and the assistance of almost thirty Chapter volunteers, we were able to put host four webinars, an E-Day with almost 100 attendees, and several networking events (including a very enjoyable Chapter dinner this week at Conference!) We were also able to secure event participation by regulators from five states, as well as a number of industry experts. Way to go, Mid-Atlantic Chapter volunteers!

On another note, it is customary for the incoming President to share his or her vision, or theme. Mine is “engaging to excel.” It’s premised on my story.

In 2009, after having led my company’s legal department for over twenty years, my CEO asked me to start up a separate compliance function. I had no formal compliance background and knew that I needed to quickly gain knowledge and acquire a network of helpful and experienced compliance professionals who could provide advice when asked. AICP provided me with opportunities to ramp up quickly and meet fellow compliance officers who were always willing to answer my questions (and with whom I ultimately became friends). Engagement in Chapter activities has allowed me to grow professionally and personally, and I am most grateful to AICP for that opportunity. I am also very grateful to long-time Mid-Atlantic Chapter member, BJ Baldwin, for persuading me to become more involved on both the chapter and national level, and to the new friends that I have made through my continued association with AICP.

I respectfully suggest (can you hear the lawyer in me?) that by engaging in upcoming chapter events and participating in the planning of chapter activities, you, too, will have fun interacting with your peers, you,

too, will gain insights through your network of compliance professionals, and you, too, will obtain the skills and compliance knowledge that you need to excel . We welcome your ideas and your participation!

The Board will be meeting shortly to discuss activities for the coming year. We will certainly have at least one E-Day (date and location are up for discussion – let us know your thoughts), as well as networking events (would your company be interested in hosting one?) and webinars (tell us what topics you're interested in). We are also very open to ideas for other informational and networking opportunities.

Are you interested in volunteering? We will be forming committees to coordinate our events and also to work on other committees, including:

- Website/Publicity Committee – works directly with the National Website Committee to create and maintain website content for our chapter and promote our Chapter, etc.
- Education Committee – assists us in obtaining speakers for events, assist members in obtaining continuing education credits for Chapter educational events, etc.
- Membership Committee – connects with new members in the Chapter, seeks new members, and provides information to existing members
- Nominating Committee – identifies potential leaders in the Chapter and coordinates the nomination process

We'd love to hear from you if you would like to volunteer to participate in the planning of one or more of those events or to work on one or more of these committees, and/or to provide your suggestions on how we can provide you with the compliance tools that you need to succeed. Here is our contact information:

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We hope to hear from you soon!

Rona Finkelstein, Esq.
President
AICP Mid-Atlantic Chapter